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Abstract
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Reference

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Doping Nature of Native Defects in 1T-TiSe₂

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The transition-metal dichalcogenide 1T-TiSe₂ is a quasi-two-dimensional layered material with a charge density wave (CDW) transition temperature of \( T_{\text{CDW}} \approx 200 \) K. Self-doping effects for crystals grown at different temperatures introduce structural defects, modify the temperature-dependent resistivity, and strongly perturb the CDW phase. Here, we study the structural and doping nature of such native defects combining scanning tunneling microscopy or spectroscopy and ab initio calculations. The dominant native single atom dopants we identify in our single crystals are intercalated Ti atoms, Se vacancies, and Se substitutions by residual iodine and oxygen.

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1T-TiSe₂ is a widely studied quasi-two-dimensional transition-metal dichalcogenide. It undergoes a second-order phase transition to a charge density wave (CDW) state, taking place at \( T_{\text{CDW}} \approx 200 \) K and leading to a commensurate superstructure [1]. In addition, pressure-induced superconductivity has been observed in pure 1T-TiSe₂ [2].

Whereas 1T-TiS₂ is a semiconductor and 1T-TiTe₂ is a semimetal, it is not yet clear whether 1T-TiSe₂ is a semiconductor with a very small indirect gap [3] or a semimetal [4,5] with a very small indirect band overlap. This uncertainty may be related to the presence of self-doping during the crystal growth. Indeed, increasing the crystal growth temperature induces a Ti excess [1] that strongly modifies the temperature-dependent resistivity and perturbs the CDW phase. Furthermore, in the debate on the origin of the CDW, a broad resistivity maximum around \( T_{\text{CDW}} \) has been associated to exciton condensate formation [6,7], and electron-hole fluctuations [8] already above \( T_{\text{CDW}} \) with strong band renormalization make the determination of the normal-state band structure difficult.

Physical properties of semiconductors (semimetals) are very sensitive to dopants, and being able to control the density and the nature of single dopants is essential for the development of new devices [9]. Extrinsic doping [10] of 1T-TiSe₂ can lead to interesting modifications of the native electronic properties. For example, controlled intercalation of Cu atoms (4%-8%) induces superconductivity and simultaneously reduces the transition temperature to the CDW state, suggesting competition between these two collective electronic states [11]. For semiconductors in general and topological insulators, where dopants can be of extrinsic or native origin [12-15], only a few extensive investigations of structural and electronic effects of defects have been done, and such a detailed study is still missing for 1T-TiSe₂ [16].

Here, we focus on the identification and electronic characterization of preexisting (native) defects in 1T-TiSe₂ to provide a better understanding of the effect of Ti self-doping and extrinsic doping. Scanning tunneling microscopy and spectroscopy (STM/STS) are perfect local tools for getting structural and spectroscopic information up to atomic resolution. A comparison with \textit{ab initio} density functional theory (DFT) calculations allows us to compare measurements and theoretical predictions in order to confirm them. We present a combined study of these techniques that enables the identification and characterization of the structural and electronic properties of native structural defects in 1T-TiSe₂. This study shows the predominant native single atom dopants to be intercalated Ti atoms, Se vacancies, and Se substitutions by residual iodine and oxygen atoms. Indeed, iodine is used as catalyst for the crystal growth, and oxygen is the main contaminant in iodine and selenium gas.

The three 1T-TiSe₂ crystals used in this study were all grown by iodine vapor transport. The first crystal was grown at 575°C in order to be stoichiometric (with negligible amount of Ti excess), and the second and the third one were grown respectively at 650°C and 900°C, therefore containing excess Ti up to 1.5% [1]. The surface was cleaved \textit{in situ} and directly measured at low temperature in ultrahigh vacuum with a base pressure below \( 3 \times 10^{-11} \) mbar. STM/STS measurements were performed at 4.7 K with an Omicron LT-STM and a SPECS JTF-STM. STM measurements were taken in constant current mode by applying a bias voltage to the sample. The differential
conductance $dI/dV$ curves (STS) were recorded with an open feedback loop using the standard lock-in method (bias modulation 20 mV peak to peak at 965 Hz). Calculations were performed using the plane wave pseudopotential code VASP [17,18], version 5.3.3. Projector-augmented waves [19] were used with the PBE [20] exchange correlation functional and plane wave cutoffs of 211 eV (17-TiSe$_2$, I substitutional) and 400 eV (O). We used two cell sizes in our model: 12.26 × 14.16 Å (small cell) and 24.52 × 28.32 Å (large cell). The 17-TiSe$_2$ surface was modeled with four layers (for small cells) or two layers (for large cells) with the bottom layer of Se fixed. A Monkhorst-Pack mesh with $4 \times 4 \times 1$ and $2 \times 2 \times 1$ $k$ points was used to sample the Brillouin zone of the small cell and the large cell, respectively. The parameters gave an energy difference convergence better than 0.01 eV. During structural relaxations, a tolerance of 0.03 eV/Å was applied. STM images were generated using the Tersoff-Hamann [21] approach in which the current $I(V)$ measured in STM is proportional to the integrated local density of states (LDOS) of the surface using the BSKAN code [22].

The STM images of the 17-TiSe$_2$ surface recorded at $-1$ V [Fig. 1(a)] and $+1$ V [Fig. 1(b)] reveal three distinct native defects characteristic of our stoichiometric crystal. Ti self-doped crystal synthesized at higher temperatures reveals a fourth kind of defect [Fig. 1(c)]. The charge density wave is not resolved in these images because integrating electronic states within 1 eV make specific CDW contributions negligible [23].

On stoichiometric 17-TiSe$_2$, all defects (A, B, C) can be observed as depletions in filled-state images [Fig. 1(a)] with some difference in shape and darkness ($\Delta z_A > \Delta z_B > \Delta z_C$). On the Ti self-doped samples, one additional kind of defect appears as a bright set of three atomic sites labeled D in Fig. 1(c). It may easily and directly be related to the excess of titanium in Ti$_{1+\delta}$Se$_2$. In fact, only these defects correspond to electron donor [24] defects and, as expected, the density of “bright” defects is clearly higher on the crystal grown at 900°C than in the 650°C one.

If each protrusion or depletion is assigned to one atomic defect, a statistical estimation gives a density of 1%–2% of native defects in the stoichiometric sample. Depending on the doping nature of these defects, this concentration can strongly affect the electronic properties. Solely on the basis of filled-state images [Figs. 1(a) and 1(c)], none of these intrinsic structural defects can clearly be distinguished except defect D. The discrimination can only be done with the help of the empty-state images [Figs. 1(b), 1(d), and 1(e)]. Here we can clearly observe that defect A appears like a hole and defect C presents a bright central spot surrounded by three depletions. Probing defect B, which corresponds to a bright threefold star [Fig. 1(b)], is much more dependent on the tip sensitivity to its associated orbitals. Whereas its observation is difficult in Fig. 1(d), we can clearly observe its threefold star shape in Fig. 1(e) acquired on the same surface after a slight modification of the tip termination. The relative orientation between defects B and C is found to be identical to the one in Fig. 1(b).

Figure 2 shows a zoom-in on the STM images of Figs. 1(c)–1(e) with atomic resolution, allowing us to determine the registry of the defects with respect to the crystal lattice in order to compare them to simulated images.
and to precisely discern the origin (nature and conformation) of these four kinds of native defects.

On 1T-TiSe₂, the topmost layer consists of Se atoms, whose p orbitals contribute most to the tunneling current in filled-state images [26]. Since we observe exactly the same atomic symmetry and positioning in the empty-state and filled-state STM images [Figs. 1(c) and 1(d)], we therefore conclude that the atoms observed on the images of Fig. 2 can be uniquely attributed to Se atoms (Se\textsubscript{up}). Depending on the doping nature (donor or acceptor) of the native defects, we have chosen to only present the most significant bias voltage for characterizing them in Fig. 2. Basically, filled- and empty-state STM images are used for highlighting, respectively, electron donors and acceptors [27]. Corresponding simulated STM images (bottom row) and the schematic representation (top row) of the structural conformation (top view) are added for each defect of Fig. 2.

The atomically resolved STM image of defect A [Fig. 2(A) center] clearly shows that the depletion perfectly matches with the atomic position of a Se atom in the outermost layer (Se\textsubscript{up}). By appearing as a depletion at all bias voltages between −1 and +1 V, this defect obviously corresponds to a hole in topography and, in this way, can be associated with a Se vacancy in the outermost Se layer. The calculated STM image of a Se vacancy in this layer [Fig. 2(A) bottom] is in excellent agreement with the experiment.

Defects B and C are centered at the atomic position of a Se atom of the second Se layer (Se\textsubscript{down}), just above the van der Waals (VdW) gap [Figs. 2(B) and 2(C)]. Both exhibit depletions at −1 V but have different redistribution of the electronic density at +1 V. Indeed, in empty-state STM images, defect B exhibits a threefold protrusion. Thus, this kind of defect clearly has an electron acceptor behavior because it appears bright in empty-state images [see Figs. 1(b), 1(e), and 2(B)]. Knowing that iodine is used for the preparation of the crystals and that it has been shown that, independent of the growth temperature, the 1T-TiSe₂ crystals contain a residual iodine concentration of around 0.3 at. % [1], we suppose that one Se atom of the second layer is substituted by an iodine atom. Furthermore, iodine is known to be more electronegative than selenium. In this way, due to the existence of a stronger electronegative species on the Se site in the second layer, the probability to inject electrons above this defect is slightly increased. In addition, as a consequence of this more electronegative element in the structure, the three neighboring Ti atoms also have a lower negative charge. This then affects the charge transfer to the other Se atoms since they should have less negative charge than the usual ones. The scanning tunneling microscope is more sensitive to the topmost layer and, therefore, it observes these modifications of the charge transfer via an increased probability of filling electrons on these Se atoms (appearing as protrusions in STM images at +1 V). The simulation of an I substitutional shows the same general behavior as the measured defect [see simulation of Fig. 2(B)]. However, because of the limited size of the cluster used for calculation and the fact that the DFT method can not fully take into account the spatial extension of effects due to long-range Coulomb interaction, the lateral size of the simulation is slightly smaller than the measured effect.

Defect C is also centered on top of a Se site of the second layer (Se\textsubscript{down}) but displays a different pattern than defect B. In empty-state STM images [see Figs. 1(b), 1(d), 1(e), and 2(C)], defect C is recognizable with a strong increase of the density of states localized on three neighboring Se atoms of the topmost layer and also three surrounding depletions. This defect can also be understood in terms of a substitution of a Se\textsubscript{down} atom by a more electronegative atom. Thus, oxygen is a good candidate for the reason that it has the same valence as Se and is an inevitable impurity of the atmosphere of the reaction system. In addition, it explains why defect B presents a higher density than defects of type C on all of our 1T-TiSe₂ samples. The simulation for O substitution [Fig. 2(C)] clearly confirms this supposition.

Note that we could not observe any substitution by iodine or oxygen in the topmost selenium layer (Se\textsubscript{up}). This is probably due to the desorption of the least stable substituent shortly after cleaving at room temperature. This also explains why one only observes selenium vacancies in the topmost layer and not in the second selenium layer.

As mentioned before, defects D can only be observed on Ti self-doped samples. In filled-states STM images (negative bias voltages below −0.2 V), this defect appears as a bright protrusion [Fig. 1(c)], which corresponds in the atomically resolved image [Fig. 2(D)] to three neighboring Se atoms of the outermost layer appearing brighter. In empty-state STM images at positive bias voltages above 0.3 V [Fig. 1(d)], the electronic perturbation induced by the defect is much lower and makes it nearly invisible. Therefore, defect D has a well-defined electron donor character, coinciding with the presence of an additional Ti atom, which locally modifies the hybridization with neighboring Se atoms [28]. Total energy calculations show that additional Ti atoms should be placed in the VdW gap and in the alignment of Ti atoms from a top view [see schematic of Fig. 2(D)]. The simulated STM image of an intercalated Ti atom in this conformation confirms our interpretation about defect D.

Finally, we point out that none of the four observed defects are due to a local excess of Se atoms in the structure. Furthermore, we could not associate any observed defect to Ti vacancies.

Figure 3 presents dI/dV curves obtained by STS measurements on the different types of defects and on the unperturbed surface, offering the possibility to visualize the local perturbation of the LDOS in the vicinity of a

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defect and to recognize the electronic properties of each of them as a function of energy. For the tip stabilization, $V_{\text{set}}$ was chosen to get a quasiconstant tip-surface distance for all STS curves. Indeed, the spectra have been recorded in the CDW phase (4.7 K), and one expects to see the opening of the CDW gap at the Fermi level ($E_F$). However, because of the presence of three conduction bands in 1T-TiSe$_2$, the system opens a gap slightly below $E_F$ [29]. The further discussion of CDW effects is beyond the scope of this Letter.

Looking at these spectra, one can first observe that in the occupied states (negative bias voltage), all defects show almost the same behavior of the LDOS as defect-free regions of 1T-TiSe$_2$, except for sites with intercalated Ti (defect D). We can conclude that defects A-C do not strongly perturb the Se $p$ bands below the Fermi level. In contrast, intercalated Ti atoms (defect D) present a well-defined peak in occupied states. This strong peak suggests the presence of a localized nondispersing state that originates from the intercalated titanium $d$ orbital and explains why one observes the strong donor behavior of this defect in filled-state STM images [Figs. 1(c) and 2(D)].

On comparison of the tunneling spectra of defect B (iodine substitution of Se$_{\text{down}}$) and the stoichiometric 1T-TiSe$_2$ surface, it appears that an upward bending of the spectrum of the stoichiometric 1T-TiSe$_2$ surface in the empty-state region can bring both spectra to a match. Such a bending may be interpreted in terms of a local band bending of the structural titanium $d$ bands (explaining the strong acceptor behavior of this defect), associated with a strong modification of the local electronic potential. The spectrum acquired at the center of defect C (oxygen substitution of Se$_{\text{down}}$) presents an even more important increase of the density of states in the unoccupied states than defect B (attributed to iodine substitution). This is probably due to the fact that the presence of oxygen in the structure locally shifts the structural titanium $d$ bands towards the Fermi level because of its strong electronegativity. Thus, the density of states is already increased below $E_F$.

Finally, these five spectra allow the determination of the local spectroscopic signatures around the Fermi level of all associated native defects of 1T-TiSe$_2$.

The structural and electronic properties of native defects in 1T-TiSe$_2$ have been investigated. We have been able to precisely identify and characterize the origin and conformation of the four predominant atomic defects revealed by STM. They are intercalated Ti atoms, Se vacancies, and Se substitution by residual iodine and oxygen atoms. This information will be helpful for further studies, in particular for the investigation of the electronic effects of Ti self-doping on the CDW state, the temperature-dependent conductance, and for a better comprehension of the effect of extrinsic doping such as, for example, Cu intercalation leading to a superconducting state.

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[10] The term of doping is taken in the general sense of the modification of electronic properties induced by the introduction (intentional or accidental) of impurities or defects into a pure compound (not necessarily in a well-defined semiconductor).
[23] The CDW gap (smaller than 50 meV) opens just below the Fermi energy, and the effects of backfolding of \( p \)-derived bands due to the new Brillouin zone are only observable close to the Fermi energy. The detailed discussion on the perturbation of the CDW state by the different defects is beyond the scope of this Letter.
[24] In this study, the donor and acceptor terms generally used for (in)gap states induced by respectively \( n \) and \( p \) dopants in semiconductors have to be interpreted as valence or conduction impurity states.
[27] When not just due to a simple topographic effect, protrusions or depletions can correspond to donor or acceptor states [30].